Order No. CVV/RO/OO/40/0106/2026 **Dated: 18 July 2026**

OFFICE ORDER
Office of the Registrar

Sub: Notification of Ph.D. Regulations pursuant to the approval of the 31st Academic Council – Reg.
Ref: Approved Agenda Item No. 4.7 of the 31st Academic Council Meeting held on 29 April 2026.

In pursuance of the approval accorded under the above reference, the Academic Council of Chinmaya Vishwa Vidyapeeth has approved the Ph.D. Regulations of the University.

The Competent Authority is pleased to notify the Ph.D. Regulations, which shall be applicable for all candidates admitted effect from the Academic Year 2026–2027 onwards. The approved Ph.D. Regulations are enclosed with this Office Order for information, guidance, and necessary compliance by all concerned.

This is released with the approval of the Competent Authority and shall be in effect from the date of release of this order.

CHINMAYA VISHWA VIDYAPEETH * VELIYANAD, ERNAKULAM-682 313 *

By Order

Dr. P Rajendran
Registrar (i/c)

REGISTRAR
Chinmaya Vishwa Vidyapeeth
Deemed to be University Under the Section 3 of UGC Act 1956
Ernakulam - 682 313 Kerala

Encl.: Ph.D. Regulations (Approved by the Academic Council)

Copy to:
1) Office of the Hon'ble Vice Chancellor
2) Office of the Registrar
3) All Deans
4) Office of the Controller of Examinations
5) Office of the IQAC
6) Heads of Departments
7) All Faculty and Staff
8) Finance Office
9) Media / University Website
10) Office Copy

Chinmaya Vishwa Vidyapeeth
Deemed to be University

Minimum Standards and Procedures for Award of Ph.D. Degree Regulations 2026

(Effective from Academic Year 2026-27)

CHINMAYA VISHWA VIDYAPEETH (CVV) REGULATIONS GOVERNING THE STANDARDS & PROCEDURES FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.), 2026

1. SHORT TITLE, COMMENCEMENT, AND AUTHORITY

1.1 These Regulations shall be called the "Chinmaya Vishwa Vidyapeeth (CVV) Regulations Governing the Standards and Procedures for the Award of the Degree of Doctor of Philosophy (Ph.D.), 2026"

1.2 These Regulations shall come into force with effect from the academic year 2026–2027, upon approval by the competent authority.

1.3 These Regulations shall apply to all Ph.D. programmes offered by Chinmaya Vishwa Vidyapeeth (CVV).

1.4 The Associate Dean/Assistant Dean - Doctoral Programmes shall be responsible for the administration, coordination, and implementation of all matters pertaining to the Ph.D. programmes of the University.

1.5 In case of any ambiguity or dispute in interpretation, the decision of the Vice Chancellor shall be final and binding.

2. DEFINITIONS

In these Regulations, unless the context otherwise requires, the following terms shall have the meanings assigned to them:

- "University/CVV" Indicates the Chinmaya Vishwa Vidyapeeth (Deemed to be University).
- "Candidate" indicates any person who satisfies the prescribed eligibility condition as stated in Section 3 of the Regulations and who intends to register/have registered for degree.
- "COE" indicates the Controller of Examinations.
- "Course" means a defined unit of study forming part of the prescribed coursework.
- "Course work" indicates the compulsory preparatory study to be undertaken by a candidate as prescribed by the concerned Research Advisory Committee.
- "Credit" indicates the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit.

- "Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale.
- "External examiner" means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Research scholar has registered for the Ph.D. programme.
- “Degree” means the degree of Doctor of Philosophy (Ph.D.).
- “School” means the School of Studies and Research of the Chinmaya Vishwa Vidyapeeth established by Statutes and intended to work for a degree.
- “Department” means various departments of the Chinmaya Vishwa Vidyapeeth established by the University and intended to work for a degree.
- “Centre” means Research Centres of Chinmaya Vishwa Vidyapeeth established by the University and intended to work for a degree.
- “SRC” means School Research Committee
- “DRC” means Department Research Committee
- “CRC” means Centre Research Committee
- “HoS” means Head of the School.
- “HoD” means Head of the Department.
- “HoC” means Head of the Research Centre.
- "Research Scholar" means the status of a candidate who is registered for the Ph.D. Programme after due selection process until she/he either successfully completes the final viva-voce leading to Ph.D. or registration is cancelled.
- “Research Supervisor” means the recognised supervisor for the research work of a candidate satisfying eligibility conditions as given in Section 5 of these regulations.
- "Adjunct Faculty" means a part-time or contingent instructor, but not a full-time faculty member of the University.
- “Research Advisory Committee” (RAC) means the Committee constituted by CVV to oversee the Research work of a candidate.
- "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Research Scholar shall submit along with the application for registration for Ph.D. programme.
- "SCOPUS/SCI/WOS/ABDC/IEEE Indexed Journals" refers to journals of higher scientific quality as compared to non-indexed journals. These are reputed

professional journals or literary journals or publications in which the research articles or papers are selected for publication by a panel of expert referees in a field.

- "Interdisciplinary Research" means research conducted by a Research Scholar in two or more disciplines jointly supervised by faculty from two schools, departments and/or research centres.
- “Board of Examiners” means the panel of examiners constituted by CVV for adjudicating the Ph.D. thesis submitted by a candidate.
- "Plagiarism" means the practice of taking someone else's work or idea without proper acknowledgment, in violation of academic integrity norms.
- "Pre - Submission Presentation" means the presentation given by the Research Scholar before the RAC, highlighting his/her research work before submission of the final thesis.
- "Vice Chancellor or VC" means the Vice Chancellor of the University.
- “Dean Academic Affairs or DAA” means the Dean of Academic Affairs coordinating and managing all the academic programmes of the university and the student academic life cycle.
- “Associate/Assistant Dean UG and PG” means Associate/Assistant Dean coordinating and managing all the UG and PG programmes.
- "Viva-Voce" means presentation of the research work by the Research Scholar followed by question-answer session, before a panel of experts/examiners and invited audience for assessment and examination.
- “CVV-PAT" means CVV Ph.D. Admission Test which is an Eligibility Test for admitting candidates to the university’s Ph.D. programme.

3. ELIGIBILITY CRITERIA AND CATEGORIES OF ADMISSION TO THE Ph.D. PROGRAMME

3.1. CATEGORIES OF REGISTRATION

The Ph.D. Programme shall be offered under the following categories, subject to the availability of approved Research Centres, recognised Research Supervisors, and requisite infrastructure in the concerned discipline:

3.1.1 Full-Time Research Scholar

A Full-Time Research Scholar is a candidate who:

- Is registered for the Ph.D. programme on a full-time basis; and

- Carries out research work primarily at the University campus or its approved Research Centres.

3.1.2 Part-Time Research Scholar

A Part-Time Research Scholar is a candidate who:

- Is employed in an organisation such as a Research Institution, Educational Institution, Industry, or other organisation recognised by the University; and
- Is permitted to pursue the Ph.D. programme on a part-time basis, subject to the approval of the University.

Part-Time Research Scholars shall:

- Fulfil the minimum residency requirements as prescribed by the University;
- Submit a No Objection Certificate (NOC) from the employer at the time of application and prior to admission;
- Ensure availability of adequate time and facilities for pursuing research.

Provided that, the minimum residency requirement may be waived or suitably relaxed for candidates working within a radius of 100 km from the University campus, subject to approval by the competent authority.

3.2. ELIGIBILITY CRITERIA

The following are eligible to apply for admission to the Ph.D. programme.

(i) Candidates who have completed 1-year/2-semester master's degree programme after a 4-year/8 semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

(ii) Candidates who have completed a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. These candidates shall be considered as Direct Admission to Ph.D. programmes after their UG degree.

(iii) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

(iv) For international students, the candidate must submit a well-documented proof that the qualifying master's degree earned by him/ her in the international university, is equivalent to that of an Indian master's degree from Association of Indian Universities and/ or

Internal Committee constituted by the University for this purpose. The international students from the non-English speaking countries need to undergo a 3-month bridge programme in English Language before they start their research work in the university.

(v) For part-time research scholars, an NOC from employing organisation regarding the candidate's registration for Ph.D. programme at CVV is required to be submitted at the time of application and certainly before the admission to the said programme in the university.

(vi) A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer) /Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

4. DURATION OF THE PROGRAMME

(i) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.

(ii) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Higher Educational Institution concerned; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

(iii) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

(iv) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

(v) The request of the candidate has to be approved by RAC and forwarded by the concerned SRC/ DRC/CRC to the Associate Dean/Assistant Dean - Doctoral Programmes who may recommend the application and forwarded to the DAA. Based on the recommendation of RAC, Associate Dean/Assistant Dean Doctoral Programmes and the DAA, the VC may give final approval.

5. PROCEDURE FOR ADMISSION

5.1 The number of available seats for Ph.D. admissions under different disciplines will be determined and notified by the office of the DAA in advance, depending on (i) the number of available Research Supervisors (ii) academic, research and laboratory infrastructure available in the university to conduct the research.

5.2 The University shall publish notifications on its website as well as through print and social media regarding the research areas, eligibility criteria, admission process, and other relevant information.

5.3 The candidates desirous of pursuing Ph.D. degree shall submit their applications in the prescribed online form. The candidates also need to provide a brief on the tentative research proposal and their motivation to do research in the chosen area, discipline or field. The application form and the necessary details will be available on the CVV website.

5.4 Each school will constitute a School/ Department/ Research Centre Committee (SRC/ DRC/CRC) to coordinate the Ph.D. program. The constitution of SRC/DRC/CRC typically is:

- Head of the School/ Department/ Research Centre (HoS/ HoD/ HoC) - Chairperson.
- 2 to 4 Faculty members with Ph.D. qualification from the department by rotation – Members.
- Ph.D. Programme Coordinator of the School/ Department/Centre – Convenor

5.5 The SRC/DRC/CRC will be responsible for the admission of Ph.D. scholars, Supervisor allocation, change of Supervisor, conversion from Full-time status to Part-time, Extension of period, etc. at the school/departmental/centre level.

5.6 The applications received will be sent to the respective Chairpersons of the SRC by the Office of the DAA to screen the applications and the selection process.

5.7 The SRC/DRC/CRC shall act as a selection committee for admission of students to Ph.D. programmes by a two-stage selection process through:

(i) In the first stage, an entrance test will be conducted. The test could be descriptive or MCQ or a combination of both as mandated by the school/department/centre. 50% weightage will be given to research methodology/reasoning and the rest 50% to core/discipline specific subjects. For a candidate with inter-disciplinary background, the subject specific portion

will be decided by the school/department/centre accordingly. Candidates must secure at least 50% marks in the entrance test to become eligible for the interview.

Note: Candidates who have qualified UGC-NET (Lectureship)/ CSIR - NET, valid GATE scores are exempted from the entrance test.

(ii) In the second stage, candidates will be called for a personal interview. The Selection Committee will decide on the number of eligible candidates to be called for an interview as follows:

An interview will be conducted by the SRC/DRC/CRC where the candidates are required to discuss their research interests/areas through a presentation before the committee. The interview shall also consider the following aspects, viz. whether:

- The candidate possesses the competence for the proposal research
- The research work can be suitably undertaken
- The basic knowledge related to proposed area of research.
- The candidate's motivation for research

(iii) The merit list for the selection will be prepared based on candidate's marks in previous degrees, performance in the entrance test and interview. The weightage may vary from school to school or department to department or centre to centre. Wherever entrance test is exempted, its weightage will be added to the weightage of Interview component.

5.8 The respective SRC/ DRC/ CRC will forward the list of selected candidates, along with the applications of all the candidates, to the Office of DAA.

5.9 The Associate Dean/Assistant Dean - Doctoral Programmes shall submit the recommendations to the DAA who will seek the approval the Vice Chancellor to release the provisional admission letters to the selected candidates under intimation to the Head of the concerned school/ department/ centre.

5.10 The office of DAA will be responsible for the registration of selected candidates for the Ph.D. programme as per the provisional admission letter.

5.11 The allocation of Research Supervisor shall be decided by the SRC/DRC/CRC within a week of registration but not later than one month after joining the programme. The supervisor allocation should be duly approved by the DAA with recommendation of the SRC/DRC/CRC Chairperson.

6. ELIGIBILITY CRITERIA FOR RECOGNITION AS RESEARCH SUPERVISOR, CO-SUPERVISOR

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

(i) Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in the peer-reviewed or refereed journals may be recognised as a Research Supervisor in the university.

(ii) Such recognised research supervisors may supervise research scholars as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated centres would be in violation of these Regulations.

(iii) Joint supervision from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

(iv) In case of interdisciplinary/multidisciplinary research work, if required, a co-supervisor from outside School/Department/ Recognised research centres may be appointed.

(v) An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.

(vi) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation.

7. ADMISSION OF INTERNATIONAL STUDENTS IN THE Ph.D. PROGRAMME

(i) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 6(i) of this regulation.

8. RESEARCH ADVISORY COMMITTEE AND ITS FUNCTIONS

(i) There shall be a Research Advisory Committee for each Ph.D. scholar. For each registered Ph.D. research scholar (both full-time and part-time), the constitution of a Research Advisory Committee (RAC) duly approved by the DAA on the recommendation of Head of the School/Department/Centre shall be as follows:

- An internal expert recommended by the Supervisor from same department
- An external expert in the allied areas of research from another department or other School of CVV or other institutions outside CVV
- The co-supervisor, if any
- The Supervisor – Convener

(ii) Both internal and external experts would be selected by the DAA from a panel of three experts against each category submitted by the supervisor.

(iii) In the case of inter-disciplinary research, the internal experts may be drawn from synergy schools/departments/centres.

(iv) The functions of the RAC will be as follows:

- To review the research proposal and finalise the topic of research,
- To guide the research scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do,
- To periodically review and assist in the progress of the research work of the research scholar,
- To recommend change of status from full-time to part-time to SRC/ DRC/CRC
- To recommend change of supervisor to SRC/ DRC/CRC
- To recommend for any leave for field work/laboratory work outside CVV
- Any other academic activity related to Ph.D. research

(v) Each semester, a research scholar shall appear before the RAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The RAC Committee shall submit its recommendations along with a copy of research scholars progress report to the Associate Dean/Assistant Dean - Doctoral Programme. A copy of such recommendations shall also be provided to the research scholar. The first RAC meeting must be conducted within one month from the date of registration of the scholar.

(vi) In case the progress of research scholar is not satisfactory, the RAC Committee shall record the reasons for the same and suggest corrective actions. If the research scholar fails to implement these corrective measures, the RAC may recommend, with specific reasons, the cancellation of the registration of the research scholar from the Ph.D. programme.

(vii) The minutes of meetings of all the RAC will be submitted to Office of DAA by the Supervisor for records in the personal files of research scholar.

9. REGISTRATION FOR RESEARCH

(i) All candidates admitted to the Ph.D. programme of the University shall join the School/Department/Centre within one month of receipt of joining memo from the university.

(ii) All research scholars are required to register for Ph.D. programme for each semester on the date of registration as given in the academic calendar. This needs to be followed till the date of submission of the thesis.

(iii) The provisional registration shall be confirmed on successful completion of coursework and the Comprehensive Viva Voce (maximum 2 years).

(iv) If a full-time research scholar does not report to the supervisor for more than a month without any approval of leave of absence, his/her registration is liable to be cancelled.

(v) If the progress of a research scholar (both full-time and part-time) is not satisfactory, his/her registration is liable to be cancelled.

(vi) If a research scholar who has not submitted his/her thesis by the end of the prescribed/extended period as provided in the regulations, his/her registration is liable to be cancelled.

10. FEE

(i) Candidates must ensure that proper payment of fees is made on time, following the fee schedule.

(ii) Fees payable by a candidate admitted to the Ph.D. Programme shall be as prescribed by the University from time to time.

11. ASSESSMENT OF RESEARCH PROGRESS

Starting from the confirmation of admission to satisfactory completion of the research work

by the Research Scholar, the Research Supervisor takes the lead in providing requisite guidance and will continuously monitor his/her progress. Minimum 75% physical attendance is compulsory for the full-time researcher for the entire duration of the programme. For the part-time researcher, weekly communication & submission of the weekly report to the Supervisor is compulsory.

11.1 Part I - Coursework

Each student admitted to the Ph.D. programme shall be required to undertake coursework in their first year at CVV. The guidelines regarding the minimum credit requirements are given below.

Table 1: Minimum Credit Requirements for the Ph.D. Programme coursework

Sl. No	Qualification	Minimum Credit requirement	Remarks
1	2-year master's degree programme after a 3-year bachelor's degree programme for Science, Arts, Humanities, Social Sciences, and Management Studies.	16 credits	The course work is expected to be completed during the first two semesters and in any case, not more than 18 months from the date of joining
2	2-year master's degree programme after a 4-year bachelor's degree programme for all the programmes	16 credits	The course work is expected to be completed during the first two semesters and in any case, not more than 18 months from the date of joining.
3	1-year master's degree programme after a 4-year bachelor's degree programme for Engineering	18 credits	The course work is expected to be completed during the first three semesters and in any case, not more than 24 months from the date of joining.
4	4-year bachelor's degree programme (Direct Admission)	24 credits	

(i) The coursework should include three mandatory courses, namely, "IKS- The Art of Man making (2 credits)", Research Methodology (4 credits) and "Research and Publication Ethics (2 credits)". The Research Advisory Committee can also recommend UGC recognised online courses (pertinent to the thesis theme) as part of the credit requirements for the Ph.D. programme.

(ii) A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the coursework to be eligible to continue in the programme and submit his/her thesis

(iii) All courses shall be of Post graduation or Equivalent level and will largely be related to Ph.D. research to advance his/her knowledge in the area of research chosen.

(iv) The Research Advisory Committee may also recommend additional courses over and above the minimum requirements.

(v) The Ph.D. course work may be offered as a Regular/ self-study course.

- **Regular Course:** Regular course is the course offered by the university in their PG/ Ph.D. curriculum and evaluation of the course is followed as per the curriculum.
- **Self- study Course:** If the proposed course is not offered by the university across the programmes, then the Self-Study course is done under the guidance of the supervisor or other faculty from the university. The syllabus of the self-study course shall be duly approved by the BOS of School/Department/Centre and the Academic Council.

(vi) All Ph.D. scholars, irrespective of any discipline, shall be required to be trained in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period.

(vii) Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorials or laboratory work and evaluations of UG and PG programmes. Selection to teaching/research assistantship will be based on interview process conducted by the respective Schools/Departments/Research Centres as per the availability of vacancy.

(viii) Based on the performance in each course, at the end of the semester, a student is awarded a final letter grade point. The letter grades and the corresponding grade

points are given in Table-2.

Table 2. Letter Grades and Grade Points

Letter Grade	Grade Point	Percentage Range
O (Outstanding)	**10**	91% and above
A^+(Excellent)	**9**	81 – 90%
A (Very Good)	**8**	71 – 80%
B^+(Good)	**7**	61 – 70%
B (Above Average)	**6**	55% – 60%
C (Average)	**5**	50% - 54%
F (Fail)	**0**	Below 50% -Failure due to insufficient marks in the examination
Ab (Absent)	**0**	Failure due to non-appearance in the examination
I (Incomplete)	**-**	Failure due to insufficient attendance in the course

(ix) A research scholar who gets "F" or equivalent grade in the course has to re- register for that course. He/she shall be given one chance to clear the course.

11.1.1 **Medium of Instruction**

The medium of instruction of coursework shall be English. However, in the case of courses in language instructions may be delivered in bilingual mode (e.g., Sanskrit, Hindi and English).

11.1.2 **Medium of Examination**

The medium of examination shall be English. Question papers may be bilingual (e.g., English, Hindi and Sanskrit) if required by the subject or specified by the Research Advisory Committee in cases discipline-oriented courses.

11.1.3 Attendance

(i) A student should be considered to have satisfactory attendance to appear for the examination if he/she attends not less than 75% of the working days for all regular courses and 90% of the working days for internship-type courses.

(ii) Condonation of shortage of attendance shall be as per university regulations. Students with a shortage of attendance beyond the consolable limit will not be eligible to register for the End semester Examination. In such cases, the candidate

has to repeat the course.

(iii) A separate attendance register shall be maintained by the Ph.D. Programme Coordinator of the concerned school/department/centre.

11.1.4 Continuous Internal Assessment

(i) The marks received for internal assessment of coursework courses are to be published before the End-semester Examinations and are to be acknowledged by the students.

(ii) All the details of internal assessment, and also documents and records pertaining to practical courses, are to be kept in the school/department/centre for two years after the end of the Programme and shall be made available for verification, if needed.

(iii) The responsibility of internal assessment is assigned to the course coordinator. If any irregularities are found in the marks of examination, it shall be reported to the DAA.

11.1.5 Examination

(i) The evaluation of each course shall be based on a Continuous Evaluation Pattern having a minimum of three evaluation components. All the courses shall have an end semester exam as well, where there shall be a minimum mark to pass. The continuous evaluation and the end semester examination carry equal weightage in marks.

(ii) Regular and recurrent holding of supplementary examinations are not encouraged. For reappearance/improvement, the students can reappear along with the next batch with the permission of CVV. In case a course is dropped, a special provision for supplementary exams not exceeding two attempts will be made.

(iii) A candidate who has not secured the minimum marks/credits in the internal assessment shall have to repeat the course.

(iv) If a candidate fails to secure the minimum grade point in any course that has an end-semester examination (ESE) as one of the evaluation components, they have to reregister for the course.

(v) After arriving at the total marks obtained in each of the components announced in the evaluation plan for the course, the final marks will be rounded up to the next higher integer.

11.2 Part – II Comprehensive Examination and Research Proposal

11.2.1 A research scholar shall take a comprehensive examination after the completion of two semesters of his/her research programme and clearing all the courses in the defined coursework plan but before the completion of four semesters. The comprehensive examination is mandatory.

11.2.2 The comprehensive examination shall be oral/written or a combination of both as recommended by the Research Advisory Committee.

11.2.3 Comprehensive examination shall be conducted by a panel of examiners which consists of the members of the Research Advisory Committee along with one external examiner as recommended by the supervisor(s) and approved by the DAA.

11.2.4 The supervisor shall intimate the research scholar well in advance about the scope of the examination and other relevant details.

11.2.5 On satisfactory completion of the comprehensive examination, his/her provisional registration shall be confirmed. If the performance is unsatisfactory, he/she shall be given one more opportunity to appear for the examination. In case, the research scholar fails to successfully complete the comprehensive examination within the prescribed time limit, his/her provisional registration shall be cancelled by CVV

11.2.6 After clearing the comprehensive examination, the research scholar is required to defend his research proposal within three months to the Research Advisory Committee

11.3 Part-III- Qualifying Examination, Seminars, Mandatory Publications and Pre-Submission Presentation

The Research Scholar shall complete the Qualifying Examination, fulfil the publication requirements under Part III, complete Seminar I and Seminar II, and undergo the Pre-Submission Presentation prior to finalisation of the thesis.

11.3.1 Qualifying Examination

When the scholar completes 75% of the research work, which may include the completion of the first two research objectives and the publication of at least one research paper in Scopus/SCI/WoS/ABDC/IEEE or Peer-Reviewed journals approved by the University, the scholar may be permitted to appear for the Qualifying Examination. This examination should involve an external evaluator who evaluates the research work in detail. On clearing the examination, a Qualifying Examination Certificate may be issued.

11.3.2 Seminar – I and Seminar -II

(i) Prior to the submission of the Synopsis covering all the chapters of the Thesis (required under Part-IV), each Research Scholar shall give two pre-Ph.D. presentations, Seminar -I and Seminar -II before the RAC at the concerned school.

(ii) The research supervisor and Head of the school/ department/centre, on receipt of the request from the Research Scholar for the conduct of the seminars, shall write to the Assistant/Associate Dean - Doctoral Programmes seeking permission.

(iii) The Research supervisor, in consultation with the RAC, shall schedule the date and time for the seminar on receipt of the permission from the Assistant/Associate Dean - Doctoral Programmes.

(iv) The Seminar-I shall be held only after the successful completion of Qualifying Examination. The eligibility criteria for the First Open Seminar may include:

- Successful completion of the Qualifying Examination
- Publication of at least one research paper with the research supervisor as co-author
- Presentation of at least one paper at a national or international conference

(v) The second seminar, Seminar- II shall be held upon completion of all research objectives or at least 90% of the research work, supported by the publication of a second research paper with the research supervisor as co-author.

(vi) These Seminars shall be open to all the faculty members and other research scholars, arranged with the objective of getting feedback and comments/suggestions from them, for being suitably incorporated into the Ph.D. Thesis, under the advice of the RAC.

(vii) The RAC shall forward the proceedings and minutes of each seminar to the Office of the DAA.

11.3.3 Mandatory Publication Requirement

(i) Each Research Scholar shall publish a minimum of two (02) research papers in Scopus/SCI/ Web of Science/ABDC/ journals approved by CVV based on his/her research work for the Ph.D. Degree.

Or

A minimum of one research paper in Scopus/SCI/Web of Science/ABDC/journals approved by CVV and at least one high quality teaching/research case explicitly based on candidates' venture/spin-off based on his/her research work duly reviewed by an expert in the field.

Or

A minimum of one patent granted by IPO and a validated product, prototype, process, algorithm, system, or technology that demonstrates originality, implementation, and real-world applicability at the desired Technology Readiness Level (TRL).

(ii) Grant of patents and a registered Venture/Spin-off company shall also be taken as valid research output in lieu of publications or a combination of all.

(iii) Each published document mentioned in clauses 11.3.3.(i) should have the names of the research scholar and research supervisor and University affiliation cited, to satisfy the above clause and to be considered as eligible for submission of thesis.

(iv) The Research Scholars, as applicable, shall also furnish DOI/ORCID/Google Scholar ID along with the reprint of published papers or acceptance letter/s from the Publisher(s) of the Journal.

11.3.4 Pre-Submission Presentation

(i) Upon successful completion of the coursework and the Comprehensive Examination, Qualifying Examination and upon demonstrating satisfactory research progress through Seminar I and Seminar II, along with two publications in the journal categories specified under Clause 11.3.3(i), the Research Scholar shall submit a Synopsis in English, in the standard format prescribed by the University, to the Research Advisory Committee (RAC) at least three months prior to the submission of the thesis, and shall seek approval for the Pre-Submission Presentation.

(ii) The synopsis shall summarise the original research work and findings of the Research Scholar, including the publications resulting from the Research work.

(iii) The Research Scholar shall present a pre-submission Presentation before the RAC, demonstrating his/her preparedness to submit the Synopsis for the process of adjudication. The pre-submission Presentation shall be open to all the faculty members and other Research scholars.

(iv) Upon satisfactory performance at the Pre-Submission Presentation, the RAC shall permit the Research Scholar to submit the Synopsis covering all the chapters of the Thesis followed by Ph.D. Thesis.

(v) If the performance of the Research Scholar in the Pre-Submission Presentation is not satisfactory, the RAC may point out the deficiencies and instruct to repeat the Presentation within three months.

(vi) In case the performance at the second Pre-Submission Presentation is not satisfactory, the RAC, subject to conditions, shall be free to permit the Research Scholar to repeat the Presentation for the third and last time within three months.

(vii) In case the performance at the third Pre-Submission Presentation is also not satisfactory, no further chance shall be given to pursue the programme, and the registration shall stand cancelled automatically, without issuance of a notice.

11.3.5 Title Approval

A candidate should get the approval of the title of his /her thesis before the Synopsis submission. The RAC can approve the title of the thesis. The request from the candidate is to be discussed in the RAC meeting and the approval shall be sent to the Associate Dean/Assistant Dean Doctoral Programmes for record purposes.

11.3.6 Synopsis submission

(i) After the successful completion of the final Research Advisory Committee meeting and pre-thesis submission presentation, the candidate shall submit three hard copies of the synopsis along with a soft copy, hard copies of the published articles and the receipt for the payment of fees to the Controller of Examination through the Research Advisory Committee and Associate Dean/Assistant Dean Programmes.

(ii) The copies of the mandatory publications mentioned in Clause 11.3.3 shall be submitted along with the synopsis.

(iii) The Research supervisor shall forward the minutes of the meeting of the research advisory committee along with the panel of examiners to the office of DAA.

(iv) The panel should contain the names, full address, phone number and Email IDs of at least 10 experts of which at least 5 experts should be from outside the state/country. The experts included in the panel are faculty members above or equal to the rank of Associate Professors in Universities/ Institutes or similar/equivalent positions in Research organisations.

(v) The Synopsis shall be attached with the plagiarism report obtained at the University.

(vi) The Associate Dean/Assistant Dean programmes shall forward the final list of examiners and the minutes to the Controller of Examinations for further evaluation.

(vii) The Controller of Examinations, after verifying the minutes and the panel, shall forward the panel to the Vice Chancellor to nominate a minimum of two external examiners.

11.3.7 Plagiarism Check

(i) A Ph.D. scholar shall submit the thesis for evaluation, along with

(a) an undertaking from the Ph.D. scholar that there is no plagiarism and

(b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.

(ii) The Ph.D. scholar shall check for plagiarism using suitable software/s and confirm that the plagiarism level is as per the university plagiarism policy.

11.3.8 Submission of Final Ph.D. Thesis

(i) The candidate is required to submit three hard copies along with a soft copy of the thesis to the Controller of Examinations through the Associate Dean/Assistant Dean Doctoral Programmes after being duly certified by the supervisor and forwarded by the HoS/HoD/ HoC.

(ii) The Thesis shall be submitted within three months from the date of submission of the final synopsis.

(iii) The Ph.D. thesis submitted by a candidate shall be evaluated by his/her Research Supervisor (internal) and at least two External Examiners (at least one should be from outside the state/country) who are experts in the field.

(iv) The External Examiners shall submit the evaluation report to the COE, who will subsequently forward it to the office of the DAA for further processing.

(v) If both the External Examiners reject the Thesis outright, the COE, in consultation with the RAC and HOS/ HoD/HoC, shall forward the recommendation of the rejection of the Thesis to the DAA who may take approval of the cancellation of the Ph.D. registration from the VC.

(vi) If one of the External Examiners rejects the thesis, the thesis shall be referred to another examiner. If this Examiner also rejects the Thesis, the process at (v) shall be followed.

(vii) If any one of the Examiners recommends Revision and Revaluation of the Thesis, then the candidate shall revise the Thesis based on the suggestions made by the Examiner and submit the revised Thesis, duly certified by the Supervisor and with payment of the prescribed fees to the DAA. The DAA shall forward the revised thesis to COE.

(viii) The COE shall send the Revised Thesis within fifteen days to the same Examiner. Once the thesis is approved in all aspects, the Controller of Examinations will forward the reports to the Vice Chancellor for constituting the Viva Voce board.

(ix) The Viva-Voce board shall consist of the (1) Dean of Faculties (Chairman of the Board), (2) One External Examiner, (3) Associate Dean of the concerned faculties, (4) Head of the School/Department, and (5) Supervisor (Convenor). The viva can be conducted online/offline.

(x) The viva-voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, students and public.

(xi) The convenor of the viva voce board shall submit the minutes of the viva-voce to the Controller of Examinations, who after verification shall submit the same to the Vice Chancellor for approving the award of the degree.

12. Ph.D. Défense NOTIFICATION

(i) The Ph.D. Défense Notification shall be given by The Controller of Examination in the official letter head of the University and shall contain:

- The Name of the candidate.
- The Discipline/Subject of the Ph.D.
- The Name of the Supervisor, Co-(s), if any.
- The Name of the School /Department/ Centre where the research work was carried out; and
- The Title of the Thesis.

(ii) The DAA and the COE shall affix a Seal with the University Emblem stating that "*The Thesis was accepted for the award of Ph.D. Degree as per Chinmaya Vishwa Vidyapeeth Ph.D. Regulation, 2026 and the candidate has undergone course work in accordance with UGC Ph.D. Regulations 2022".*

(iii) Prior to the actual award of the degree, University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of the University Ph.D. Regulations.

13. RESEARCH ETHICS

(i) All individuals involved in conducting research for the Ph.D. programme should incorporate appropriate consideration of ethical issues into the design and management of projects.

(ii) Research involving interaction with environmental issues, human subjects or

communities should be informed by context-specific ethical practice with prior approval from the Ethical Clearance committee constituted by the University.

(iii) Scholars must respect the human rights and dignities of all those involved in any inquiry project and must appropriately address questions of consent, power relations, deception, confidentiality and privacy.

(iv) In particular, scholars must address a range of complex issues around developing and maintaining respectful and ethical relationships with research partners based on mutual respect for academic traditions and institutional circumstances.

(v) Information and/or complaints regarding the above issues shall be referred to the committee constituted by the Vice-Chancellor for necessary action.

(vi) At the time of admission, each scholar must give an undertaking that he/she will abide by the Ph.D. Regulations of the University.

14. DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the university shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions /Colleges.

15. PUBLICATION OF THE THESIS

(i) If a candidate intends to publish the Thesis, he/she shall seek the permission from the university. Publication details should contain the affiliation of the University.

(ii) The candidate may be the first author and the research supervisor, the corresponding author (it depends on the discretion of the supervisor).

16. MISCELLANEOUS

16.1 Copyright of the Thesis

The University shall retain the copyright of all Thesis upon the conferment of the Ph.D. degree.

16.2 Prohibition of Scholars from Registering for any other Degree

No Research Scholar of the University shall be permitted to pursue any other Degree programme within the University or at other Universities / Institutions, concurrently with his/her on-going registration as a Research Scholar for the Ph.D. Degree.

16.3 Prohibition of Statutory Officers from Ph.D. Registration

The Statutory Officers of the University shall not be permitted to register for the Ph.D. Degree

of the University during the period of their tenure at the University.

16.4 Change of Research Supervisor / Research Co-Supervisor

(i) In unforeseen circumstances like, transfer/voluntary retirement/ resignation/ indisposed situation/demise of the Research Supervisor and/or Research Co-Supervisor/ legal actions on the Research Supervisor by the administration, etc., the research scholar shall submit a request to the Associate/ Assistant Dean - Doctoral Programmes through the concerned School/ Department/ Research Centre with the approval of the RAC for a change of Research Supervisor/ Co-Supervisor. The request shall be with No Objection Certificates (NOCs) issued from the current Research Supervisor/ Co-Supervisor (if any), in feasible situations and from the proposed alternate Research Supervisor/ Co-Supervisor (if any) and the Research Centre.

(ii) The change of Research Supervisor/ Co-Supervisor shall be permitted provided,

- The field of specialisation of the new Research Supervisor/Co-Supervisor is pertinent to the field and topic in which the Research Scholar is pursuing the Ph.D. programme.
- The new Research Supervisor is working at a place where there is a university-approved Research Centre pertaining to the field in which the Research Scholar is pursuing the Research.
- The Research Centre at which the new Research Supervisor is working, has the requisite research facilities required for the Research Scholar's research field/topic.

(iii) In case the specialisation field of the new Research supervisor/ Co-Supervisor is different from the Research Scholar's chosen field of research, there may be a change in research direction and may need a new research proposal and/or prescribing of new coursework to continue with the Ph.D. programme. In such cases, the DAA with the approval of the competent authority, shall permit the change of the Research supervisor/ Co-Supervisor with new coursework/ additional course/s for completion as per university norms without changing the date of admission to Ph.D. programme.

In view of the foregoing, the Research Scholars may have to repeat any one or more

of the following:

The changes shall be considered, case by case, based on the stage at which the change is being sought:

a. Change before the completion of coursework.
b. Change after completion of the coursework and before the comprehensive viva-voce.
c. Change after the comprehensive Viva-Voce but before Pre-Submission Presentation.
d. Change after the Pre - Submission Presentation.
e. For all the above cases(a) to (d), at least one mandatory publication with the new approved Supervisor along with other publication guidelines as mentioned under section 11.3.3 of these regulations.

(iv) The University shall take suitable decisions, following or overruling the Sections 16.4 (ii) and 16.4 (iii) in unforeseen circumstances. In all such cases, the decision of the University shall be final and binding.

(v) Prescribed fees shall be paid by the Research Scholar to the University for the change of Research Supervisor/Co-Supervisor.

(vi) In all the above cases, the Research Scholars can carry the research data of research already done but shall give due credit to the former Research Supervisor/ Co-Supervisor and the Institution with its use

(vii) The request for change of Research Supervisor/ Co-Supervisor shall be considered only once during the Ph.D. programme.

(viii) With a change in Research Supervisor or the Research Co-Supervisor, the RAC shall be reconstituted.

16.5 Cancellation of Registration to Ph.D. programme at the request of the Research Scholar

(i) In case a registered Research Scholar is not desirous to continue with the Ph.D. programme, he/she may discontinue the programme at any time, only on getting the registration cancellation order from the office of DAA.

(ii) For cancellation of registration to Ph.D. programme, the following documents shall be submitted to the Registrar.

- Acceptance letter for cancellation of Registration to Ph.D. programme from the Research Supervisor and Co-Supervisor, if any.
- No due certificate from CVV (including the year of cancellation)
- The receipt for the prescribed fee paid to the University for cancellation of Registration.

(iii) The request for cancellation shall be placed before the Vice Chancellor for approval.

(iv) In all such cases, the decision of the Vice Chancellor shall be final and binding on all concerned.

16.6 **Cancellation of Registration to Ph.D. programme by the University**

(i) The DAA shall issue notice, on receiving the report from the HoS/HoD/HoC to the Research Scholar seeking an explanation as to why his/her registration should not be cancelled for, under any of the following or any other valid reasons:

a) Unauthorised absence for a period exceeding a month.

b) Non-payment of fees to the University.

c) Research Supervisor expressing his/her inability to continue to supervise because of non-progress of the research work as reported by the RAC or failure to interact frequently with the supervisor or for any other valid reasons.

d) Registering to pursue some other Full-Time/Part-Time programme of another university or organisation.

e) Joining a Full-Time/Part-Time appointment, having registered under the Full-Time category.

f) Not applying for the extension of the period after the completion of the maximum prescribed period of the Ph.D. programme.

g) Involvement in ragging/major act of misconduct and/or indiscipline.

(ii) The concerned Research Scholar shall reply within a month from the date of receiving the show cause notice, failing which his/her registration to Ph.D. programme shall be cancelled.

(iii) The reply from the Research Scholar or the noncompliance report in case of no reply from the Research Scholar shall be placed before the Academic Council for its consideration. The decision of the Academic Council shall be final and binding on all concerned.

17. GRIEVANCE REDRESSAL MECHANISM

In order to address any grievance of students related to academic matters, such as assessment or course execution, a Grievance Redressal mechanism may be constituted at different levels, depending upon the seriousness of the complaints. A student may also approach the upper level if the grievance is not addressed at the lower level.

Level 1: *School Level*: A committee with the Head of the School/Department/Research Centre as the Chairman; Supervisor and an additional faculty member from the school as members.

Level 2: *Programme Level*: A committee with the DAA as Chairman; the Associate Dean/Assistant Dean Doctoral Programme, the Head of the School/Department/Centre of the scholar and a senior faculty member from another School/Department / Centre as members.

Level 3: *University Level*: A committee constituted by the Vice-Chancellor or his/her nominee as Chairman; Dean Academics, Associate Dean/Assistant Dean - Doctoral Programme and the Controller of Examinations as members.

18. CHANGE OF REGULATION

(i) The Academic Council of CVV may revise, amend or modify the regulations from time to time.

(ii) The Ph.D. regulations from the UGC will be referred for any clause not covered in CVV - Ph.D. regulations.

(iii) Any discrepancy regarding the above regulations or any other regulations which are not covered shall be referred to the Vice Chancellor for his/her final decision.